

Attorney Docket No. 1454.1203

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Jorge CUELLAR et al.

Application No.: 10/009,975

Group Art Unit: 2683

Confirmation No. 5793

Filed: March 18, 2002

Examiner: RAMPURIA, SHARAK K

For:

METHOD AND SYSTEM FOR VERIFYING THE AUTHENTICITY OF A FIRST COMMUNICATION PARTICIPANTS IN A COMMUNICATIONS NETWORK

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
 PO Box 1450
 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

f it is d	eemed mate	rial to the examination of the subject application.
	Enclosures	accompanying this Information Disclosure Statement are:
	1a. ⊠ 1b. ⊠	Form PTO-1449.
	ID. 🔼	Copy(ies) of IDS citation(s), except for U.S. Patents and U.S. Patent Application publications.
	1c. 🗌	English language copy of a communication(s) from a foreign Patent Office or a PCT International Search Report.
	1d. 🛚	English language translation (complete, Abstract or relevant portion(s)) attached to non-English language publications as indicated on the attached Form PTO-1449.
	1e. 🛚	Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of non-English publications.
	1f. 🗌	List of Copending Applications (ATTACHMENT 1(f), hereto).
	1g. 🗌	List of Additional Submitted Documents (ATTACHMENT 1(g), hereto).
2. 🗆	This Infor	mation Disclosure Statement is filed under 37 CFR § 1.97(b):
		(Check either Item 2a or 2b or 2c or 2d)
	2a.	Within three months of the filing date of a national application; Within three months of the date of entry of the national stage as set forth in
	2c.	§ 1.491 in an international application. Before the mailing of a first Office Action on the merits; or Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.

Serial No.: 10/009,975

3.	specified Action un	mation Disclosure Statement is filed under 37 CFR § 1.97(c) after the period in paragraph 2 above but before the mailing date of any of a Final Office der § 1.113, a Notice of Allowance under § 1.311 or an action that otherwise osecution in the application, AND
	·	(Check either Item 3a or 3b; Item 3b to be checked if any reference known for more than 3 months)
	3a.	The § 1.97(e) Statement in Item 5 below is applicable; OR The \$180.00 fee set forth in 37 CFR § 1.17(p) is: enclosed.
		to be charged to Deposit Account No. 19-3935.
4.	specified	mation Disclosure Statement is filed under 37 CFR § 1.97(d) after the period in paragraph 3 above, but on or before payment of the Issue Fee, AND
	4a.	The § 1.97(e) Statement in Item 5 below is applicable; AND The \$180.00 fee set forth in 37 CFR § 1.17(p) is: enclosed.
		to be charged to Deposit Account No. 19-3935.
5.	Statemen	t under § 1.97(e) (applicable if Item 3a or Item 4a is checked) (Check either Item 5a or 5b)
	5a. 🗌	In accordance with 37 CFR § 1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this
	5b. .	Information Disclosure Statement. In accordance with 37 CFR § 1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
6.	This is a of 1.53(b).	continuation/divisional/continuation-in-part application under 37 CFR §
	•	(Check appropriate Items 6a and/or 6b)
	6a. 🗌	Copies of the publications listed on the attached Form PTO-1449 which were previously cited in prior application Serial No, filed on, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, have been omitted pursuant to 37 CFR § 1.98(d).
	6b. 🗌	Copies of the publications listed on the attached Form PTO-1449 which were not previously cited in prior application Serial No, filed on, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, are provided herewith.

Serial No.: 10/009,975

7.		This i	s a Re	equest for Continued Examination under 37 CFR § 1.114.
				(Check either Item 7a or 7b)
		7a. [7b. [] A C E	The Issue Fee has not been paid. A Petition to Withdraw from issue under 37 CFR § 1.313(c) is filed concurrently herewith or has been granted. A Request for Continued Examination under 37 CFR § 1.114, after payment of the Issue Fee, is proper in accordance with 37 CFR § 1.114(a), respectively.
8.		This i	s a Sı	upplemental Information Disclosure Statement.
				(Check either Item 8a or 8b)
		8a. [1 b o A	This Supplemental Information Disclosure Statement under 37 CFR § .97(f) supplements the Information Disclosure Statement filed on A cona fide attempt was made to comply with 37 CFR § 1.98, but inadvertent emissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental IDS can be considered as if preparty filed an
		8b. [T	re considered as if properly filed on This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR §§ 1.97 and 1.98, mailed
9.				e with 37 CFR § 1.98, a concise explanation of what is presently be the relevance of each non-English language publication is:
			_	(Check appropriate Items 9a, 9b, 9c and/or 9d)
		9a. [''' d N C	English language version of the search report or action which indicates the legree of relevance found by the foreign office". (See MPEP § 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, 8th Ed., Rev. 2)
		9b. [9c. [ີ່ s a	et forth in the application. atisfied for the non-English language publication(s) indicated on the atisfied Form PTO-1449 as having an English language translation complete or relevant portion(s)) attached thereto.
		9d. [enclosed as Attachment 1(e), hereto.
10.	be se	, mate arch r	erial to eport(is made that the information cited in this Statement is, or is considered to patentability nor a representation that a search has been made (other than s) from a counterpart foreign application or a PCT International Search mitted herewith). 37 CFR §§ 1.97(g) and (h).

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11. The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR § 1.17 for this Information Disclosure Statement to Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY LLP

Washington, D.C. 20005 Telephone: (202) 434-1500 Facsimile: (202) 434-1501 By:

Mark J. Henry

Registration No. 36,162

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Under the Paperworl

Substitute for form 1449A/PTO

Complete if Vincius						
Complete if Known						
Application Number	10/009,975					
Filing Date	31.05.2000					
First Named Inventor	Dr. Jorge Cuellar					
Art Unit						
Examiner Name						
Attorney Docket Number	1999P02055WOUS					

PTO/SB/08A (07-06)

U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number		Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
Initials *	No.1	Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Cited Document		
	1	US-6,980,796 B1	12-27-2005	Cuellar et al.		
	2	US-6,466,780 B1	10-15-2002	Geiselman et al.		
	3	US-5,991,623	11-23-1999	Ohta et al.		
	4	US-4,519,068 `	05-21-1985	Krebs et al.		
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Examiner	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
	5	Patentabstracts of Japan 9-084124 A	03-28-1997	Fujitsu Ltd.	1	
	6	Patentabstracts of Japan 8-242488 A	09-17-1996	N T T IDO Tsushinmo KK		
	7	JP 5-508274 A = WO 92/02103A1	18-11-1993	Motoroloa Inc.		
	8	JP 5-508816 A = WO91/01067 A27	09-12-1993	Motorola Inc.	-	
	9	Patentabstracts of Japan 4-249454 A	09-04-1992	Matsushita Electric Ind. Co. Ltd		
	= 7	WO 92/02103 A1 = JP 5-508274 A	02-06-1992	Motorola Inc.		
	= 8	WO 91/01067 A2 = JP 8-242488 A -	01-24-1991	Motorola Inc.		

Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/08B(07-06) Approved for use through 09/30/2006. OMB 0651-0031
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Substitute 1	for form 1449A/PTO			Complete if Known
		DISCLOSURE	Application Number	10/009,975
		-	i Fijing Date	31.05.2000
STATEMENT BY APPLICANT			First Named Inventor	Dr. Jorge Cuellar
			Art Unit	
(us	e as many sheets	as necessary)	Examiner Name	
Sheet	2	of 2	Attorney Docket Number	1999P02055WOUS

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ª		
	10	3rd Generation Partnership Project; Trechnical Specification Group Services and System Aspects, 3G Security , Security Architecture, Release 4, 3GPP TS 33.102 V4.4.0 (2002-06)			

Examiner	Date	
Signature	Considered	

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